

PATENT APPLICATION Docket No: 13587.27

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of		)
	Norman C. Wong et al.	)
Serial No.:	09/876,798	) ) Art Unit ) 2152
Confirmation No.:	9042	) 2132 )
Filed:	June 6, 2001	) )
For:	METHOD AND SYSTEM FOR SELECTIVELY RECEIVING CONTENT OVER A COMMUNICATIONS NETWORK BASED ON NETWORK COMMUNICATION SPEED	) ) ) )

# INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97

Commissioner for Patents Washington, DC 20231

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed listed references are disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

Dated this 1<sup>st</sup> day of October 2002.

Respectfully submitted,

Dana L. Tangren

Attorney for Applicant Registration No. 37,246

WORKMAN, NYDEGGER & SEELEY

1000 Eagle Gate Tower 60 East South Temple

Salt Lake City, Utah 84111

Telephone: (801) 533-9800

DLT:dfw

W:\13587\27\DFW0000001201V001.DOC

Form PTO-1449 ..

Applicant: Serial No.:

Filing Date:

Norman C. Wong et al.

09/876,798

For:

June 7, 2001

Sheet 1 of 2 Confirmation No.: 9042

Att'y Docket No.: 13587.27

Group: 2152

METHOD AND SYSTEM FOR SEE TÍVELY RECEIVING CONTENT OVER A COMMUNICATIONS NETWORK BASED ON NETWORK COMMUNICATION

OCT 0 7 2002

**SPEED** 

1997.

## INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

		U.S. Patent Documents	
Examiner <u>Initial*</u>	Document Number	Issue <u>Date</u>	<u>Name</u>
		Foreign Patent Documents	
Examiner <u>Initial</u> *	Document Number	Publication	Country or Patent Office
		Other Documents (including author, title, pertinent pages, etc.)	
Examiner <u>Initial</u> *			

http://www.ietf.org/rfc2109.txt, "HTTP State Management Mechanism," by D. Kristol, Bell Laboratories, Lucent Technologies, and L. Montulli, Netscape Communications, February

Examiner:	Date Considered:

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-1449

Applicant:

Norman C. Wong et al.

Serial No.:

09/876,798

Filing Date: For:

June 7, 2001

METHOD AND SYSTEM FOR SELECTIVELY RECEIVING CONTENT OVER A

Sheet 2 of 2

Confirmation No.: 9042 Att'y Docket No.: 13587.27

Group: 2152

**SPEED** 

## References Cited by Applicants

COMMUNICATIONS NETWORK BASED ON NETWORK COMMUNICATION

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

W:\13587\27\DFW0000001202V001.doc

Examiner:	Date Considered:

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



PATENT APPLICATION
Docket No: 13587.27

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

	Pr-	)
Norman C. Wong et al.	PECEIVED	) )
09/876,798	Technologue 9 2002	) Art Unit ) 2152
9042	Center 2100	)
June 6, 2001		)
RECEIVING CONTENT NETWORK BASED ON	OVER A COMMUNICATIONS NETWORK	) ) )
	09/876,798  9042  June 6, 2001  METHOD AND SYSTER RECEIVING CONTENT NETWORK BASED ON	09/876,798  Technology Center 2100

#### CERTIFICATE OF DEPOSIT UNDER 37 C.F.R. § 1.8

I hereby certify that the following documents are being deposited with the United States Postal Service as First Class Mail, postage prepaid, in an envelope addressed to: Commissioner for Patents, Washington, DC 20231, on the 1<sup>st</sup> day of October 2002.

- Transmittal for Information Disclosure Statement (3 pages)
- Information Disclosure Statement (2 pages)
- Form PTO-1449 listing one reference (2 pages)
- A copy of the listed reference
- Postcard

Respectfully submitted,

DANA L. TANGREN Attorney for Applicant

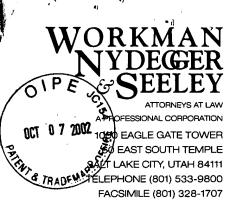
Registration No. 37,246

WORKMAN, NYDEGGER & SEELEY

1000 Eagle Gate Tower 60 East South Temple

Salt Lake City, Utah 84111 Telephone: (801) 533-9800

Facsimile: (801) 328-1707



RICK D. NYDEGGER DAVID O. SEELEY BRENT P. LORIMER THOMAS R. VUKSINICK LARRY R. LAYCOCK JONATHAN W. RICHARDS DAVID R. WRIGHT JOHN C. STRINGHAM JOHN M. GUYNN CHARLES L. ROBERTS GREGORY M. TAYLOR DANA L. TANGREN ERIC L. MASCHOFF CHARLES J. VEVERKA ROBYN L. PHILLIPS RICHARD C. GILMORE<sup>†</sup> DAVID B. DELLENBACH R. BURNS ISRAELSEN DAVID R. TODD L. DAVID GRIFFIN

JESÚS JUANÓS I TIMONEDA, PH.D. ADRIAN J. LEE FRASER D. ROY CARL T. REED R. PARRISH FREEMAN, JR. PETER F. MALEN, JR. L. REX SEARS, PH.D. ERIC M. KAMERATH ROBERT E. AYCOCK JENS C. JENKINS KEVIN W. STINGER WILLIAM J. ATHAY MICHAEL B. DODD WILLIAM R. RICHTER TRENT H. BAKER RYAN D. BENSON SARA D. JONES TIGE KELLER

† ADMITTED ONLY IN CALIFORNIA

PATENTS
TRADEMARKS
COPYRIGHTS
TRADE SECRETS
UNFAIR COMPETITION
LICENSING
COMPLEX LITIGATION

MAILING ADDRESS: P.O. BOX 45862 SALT LAKE CITY, UT 84145

INTERNET

HOME PAGE: http://www.wnspatent.com GENERAL E-MAIL: info@wnspatent.com

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of	Norman C. Wong et al.  007 0 9 2002  109/876,798	χ
	Norman C. Wong et al. Technology 0	)
Serial No.:	09/876,798	) Art Unit ) 2152
Confirmation No.:	9042	) )
Filed:	June 6, 2001	) )
For:	METHOD AND SYSTEM FOR SELECTIVELY RECEIVING CONTENT OVER A COMMUNICATIONS NETWORK BASED ON NETWORK COMMUNICATION SPEED	) ) ) )

#### TRANSMITTAL FOR INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents Washington, DC 20231

Sir:

Transmitted herewith for filing and pursuant to 37 C.F.R. § 1.97 is an Information Disclosure Statement, which includes the following statements, if any, required variously by 37 C.F.R. § 1.98:

 Statement	of relevance	of	selected	cited	references	not	in	the	English	language
which are	not translated									

 Statement that selected	cited references	are substantially	cumulative of	an enclosed
or previously submitted	reference.			

	<del></del>	Statement that selected cited references were previously cited by or submitted to the United States Patent and Trademark Office in a prior application which is relied upon for an earlier filing date under 35 U.S.C. § 120.
	A.	Additional Materials Required Due to Content of Information Disclosure Statement
Staten		mitted are the following documents in addition to the Information Disclosure required variously under 37 C.F.R. § 1.98:
4	<u>X</u>	Form PTO-1449 listing one reference submitted for consideration.
	<u>X</u>	A copy of the reference listed on the Form PTO-1449.
		English translations of () of the references listed on the Form PTO-1449 which are not in the English language.
		Copies of the following documents from the prosecution of a previous, related application:
		Form PTO-1449 AND INFORMATION DISCLOSURE STATEMENT; and
		Form PTO-892
	B.	Additional Materials Required Due to Timing of Filing of Information Disclosure <u>Statement</u>
follow		ransmitted Information Disclosure Statement is being filed within one (1) of the r (4) time periods:
I.	X	Prior to the later of either three (3) months following the filing date or the mailing of a first Office Action. Accordingly, no materials other than those listed above are enclosed.
II.		Following the latter of either three (3) months following the filing date or the mailing of a first Office Action, but before the mailing of a final Office Action or a Notice of Allowance. Accordingly, to secure consideration thereof, one (1) of the following is also enclosed:
		Promptness Certification; or
		Check No in the amount of \$180.00 constituting the submission fee set forth in 37 C.F.R. § 1.17(p).
III.		After the mailing of a Notice of Allowance, but before payment of the Issue Fee. Accordingly, in order to secure consideration thereof, each of the following are also enclosed:
		Promptness Certificate;

		Petition for Consideration; and
		Check No. in the amount of \$ constituting the petition fee set forth in 37 C.F.R. § 1.17(i)(1).
IV.		After payment of the Issue Fee. Accordingly, in order to secure consideration thereof, each of the following are also enclosed:
		Petition to Withdraw from Issue; and
		Check No in the amount of \$ constituting the petition fee set forth in 37 C.F.R. § 1.17(i)(1).
	C.	<u>Fees</u>
	ing fee	commissioner is hereby authorized to charge payment of or any deficiency in the s associated with this communication, or to credit any overpayment thereof, to unt No. 23-3178. A duplicate copy of this letter is enclosed.
	<u>X</u>	Any fee required in relation to filing of this letter or any documents transmitted therewith.
	********	The submission fee set forth in 37 C.F.R. § 1.17(p) in the event that 37 C.F.R. § 1.97(c) applies and the Examiner is not satisfied that any Promptness Certificate submitted meets the requirements of 37 C.F.R. § 1.97(e).
		The submission fee set forth in 37 C.F.R. § 1.17(p).
		The petition fee set forth in 37 C.F.R. § 1.17(i)(1).
	Dated	this 1 <sup>st</sup> day of October 2002.

Respectfully submitted,

DANA L. TANGREN Attorney for Applicant Registration No. 37,246

022913

PATENT TRADEMARK OFFICE